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Deep Learning-Based Age-Related Macular Degeneration Detection Using Combined OCT and Fundus Images

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ABSTRACT: In order to identify age-related macular degeneration (AMD), researchers have recently developed new deep learning (DL) models that use a single visual modality. The most crucial modalities for examining AMD in clinical settings are retinal fundus and optical coherence tomography (OCT) images. It is unclear whether using fundus and OCT data simultaneously in the DL approach is advantageous. OCT and fundus imaging data from postmortems from Project Macula were used in this experimental investigation. To diagnose AMD, the DL based on OCT, fundus, and a combination of OCT and fundus was developed.

Pre-trained VGG-19 and random forest transfer learning comprised these models. The DL utilizing OCT alone demonstrated diagnostic efficiency after data augmentation and training, with an accuracy rate of 82.6% (81.0–84.3%) and an area under the curve (AUC) of 0.906 (95% confidence interval, 0.891–0.921). The accuracy rate of the DL utilizing fundus alone was 83.5% (81.8–85.0%) and the AUC was 0.914 (0.900–0.928). When the fundus and OCT were used together, the diagnostic power rose with an accuracy rate of 90.5% (89.2–91.8%) and an AUC of 0.969 (0.956–0.979). The DL using both OCT and fundus data performed better than the DL using OCT alone (P value < 0.001) and fundus image alone (P value < 0.001), according to the Delong test. Compared to deep belief network techniques (P value = 0.042) and a constrained Boltzmann machine (P value = 0.002), this multimodal random forest model performed even better. Duncan's multiple range test revealed that the multimodal approaches far outperformed the single-modal approaches. When compared to this data alone, a

multimodal DL algorithm based on the combination of OCT and fundus image improved the diagnostic accuracy in this pilot investigation. For a more accurate diagnosis of AMD, future diagnostic DL must use the multimodal approach to integrate different imaging modalities.

1. INTRODUCTION

The most prevalent type of progressive retinal disease that impairs vision in developed nations is age-related macular degeneration (AMD) [1]. Drusen and retinal pigment epithelial abnormalities are present in patients with early AMD. Visual loss may be linked to the advancement of AMD with neovascularization and geographic atrophy of the retina. Establishing the clinical diagnosis of AMD in patients with reduced visual acuity might be difficult. Color fundus photographs served as the foundation for the traditional AMD diagnostic and grading system [2]. Recently, deep learning has been created for fundus picture classification [3]. This method has been used to diagnose and identify subtle pathologic lesions of the retina with great accuracy [4].

grade AMD using the AREDS dataset from the National Institutes of Health [5]. Nearly 90% of diagnoses were reported to be accurate, which was on par with human doctors [6]. Additionally, deep learning performed well in predicting exudative AMD using wide-field fundus pictures [7]. The 13 classifications of AMD severity were predicted by an ensemble model that outperformed human graders using six distinct widely used deep learning approaches [8]. However, the two-dimensional character of fundus pictures limits their use for diagnosis in the absence of other forms of inspection.

Similar to ultrasonography, which displays cross-sectional images, optical coherence tomography (OCT) is a light wave-based diagnostic technology that provides three-dimensional structural information. In challenging diagnostic situations, OCT has proven helpful in assessing retinal pathology by obtaining a cross-sectional lesion with neovascularization and surrounding tissue. These days, OCT is frequently utilized in clinical settings to assess AMD activity [9]. For the diagnosis of AMD and diabetic macular edema, the existing deep learning method performed well in classifying retinal OCT images [10]. Using OCT pictures from AMD patients, a deep learning model demonstrated good diagnosis accuracy [11]. Specifically, a recent study used a deep learning approach to classify exudative AMD [12]. To choose the patient who requires anti-vascular endothelial factor injection, another deep learning research team takes one step farther [13]. Transfer learning with pre-trained deep learning was used to categorize advanced AMD, drusen, and diabetic macular edema based on extensive OCT data [14]. Deep learning-based retinal segmentation and feature extraction have also been successfully used to diagnose AMD [15].

When diagnosing AMD in the clinic, ophthalmologists often take into account two image domains: fundus and OCT. To our knowledge, however, the machine learning literature has not before highlighted the diagnostic usefulness of the combination of OCT and fundus pictures. Investigating the usefulness of combining fundus imaging with OCT imaging to differentiate between normal and AMD was the aim of this experimental study.

2. METHODS

2.1 Image dataset

The lack of an open database containing both OCT and matching fundus pictures makes it challenging to obtain OCT and fundus data from actual AMD patients. Thus, the combination of fundus and OCT imaging was evaluated using the publicly accessible OCT and retinal image database at Project Macula (<http://projectmacula.cs.uab.edu>) [16]. Investigating age-related macular degeneration (AMD) in patients and postmortem materials was the goal of Project Macula. This dataset offers the pathohistological examination-confirmed diagnosis of AMD.

The process of obtaining a two-dimensional projection of the three-dimensional retina using reflected light is known as fundus photography. On the other hand, a cross-sectional OCT visualization offers superior detail for assessing the retinal layer complex, neurosensory retinal morphology, and vitreo-retinal interface. Figure 1 illustrates how fundus and OCT pictures differ in terms of image acquisition position and intensity distribution. Fundus scans can show three-channel color

changes at the lesion when sub-retinal fluid or inner-retinal hemorrhage occurs in an AMD eye, and OCT images can display changes in retinal thickness and disruption of retinal layers in an intensity map.

Three categories were present in the original dataset: normal (50 eyes), non-neovascular AMD (19 eyes), and neovascular AMD (40 eyes). To eliminate artifacts like alignment pins and scales surrounding fundus images, the two ophthalmologists (T.K.Y. and J.G.S.) carefully examined and cropped the photos. An SD-OCT system with image averaging was used to acquire OCT raster scans (Spectralis, Heidelberg-Engineering, Heidelberg, Germany). Due to the short dataset (3 eyes), geographic atrophy was not included. To assess the quality of the scans, the authors examined every OCT scan image for every subject. Due to the irregular size and extremely low quality of the OCT and fundus pictures, the two ophthalmologists (T.K.Y. and J.G.S.) manually chosen a square area of focus that included the foveal retina, the most significant lesion in the pathophysiology of the macula, in each image. We employed 27 normal, 18 non-neovascular AMD, and 38 neovascular AMD eyes for analysis following a quality check. Examples of each illness condition are shown in Figure 2. The experimental procedure complied with the Declaration of Helsinki. Since the researchers used a public database, ethics committee permission was not required for this work.

In an attempt to adequately train deep learning models, data augmentation was carried out by adding data by oversampling images with translation, rotation, brightness change, and additive Gaussian noise. A popular method for enhancing deep learning models' generalization is data augmentation [17]. Due to the imbalanced data issues, we randomly retrieved 1000 modified fundus photos for each disease class, for a total of 3000 fundus images. Additionally, the OCT images (a total of 3000 OCT photos) that matched the fundus images were enhanced. We acquired samples with rotation $[-15^\circ, +15^\circ]$, brightness change $[-10\%, +10\%]$, and translation $[-10\%, +10\%]$ of the image width. The evenly collected sigma of additive Gaussian noise falls between 0 and 0.04. During oversampling, all images, including OCT and fundus images, were arranged based on the size of the input pre-trained model (224×224 pixels).

2.2 Description of the automated method

We used the deep convolutional neural network (CNN) model with 19 layers (VGG-19) along with MatConvNet

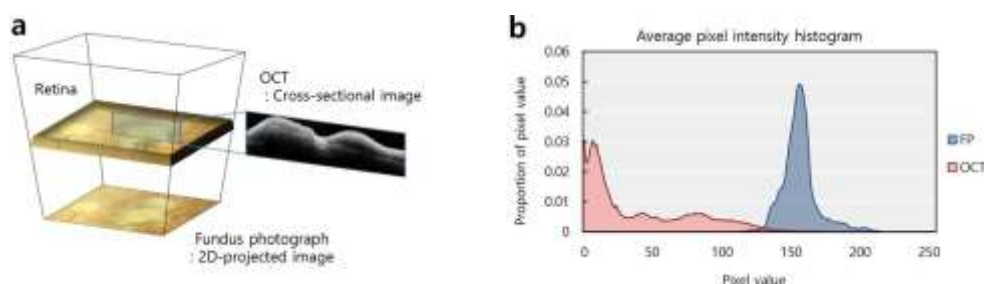


Fig. 1 The difference between OCT and fundus images. a Illustration of OCT scan location and fundus photography scan location. b The histogram of fundus photography and OCT image pixel values

(available at <http://www.vlfeat.org/matconvnet>). VGG-19 consisted of 16 convolutional layers and 3 fully connected layers [18]. A machine learning model can put accumulated knowledge into a new task domain by applying a transfer learning technique [10]. Transfer learning by using pre-trained CNN enables to avoid problems in relation to a few datasets in medicine. We used VGG-19 pre-trained with images from ImageNet. When the CNN served as a feature extractor, multiclass random forest (RF) models were operated by utilizing the 4096 input features from the last covered layer of the pre-trained VGG-19 model [3].

We compared five different deep learning models (Fig. 3):

(1) transfer learning with RF based on OCT imaging alone; (2) transfer learning with RF based on fundus imaging alone; (3) transfer learning with RF based on the combination of OCT and fundus imaging; (4) transfer learning with multimodal restricted Boltzmann machine (RBM) [19]; (5) transfer learning with multimodal deep belief network (DBN) [20]. The current popular deep CNN techniques widely used did not provide the training and validation schemes that combine two

different imaging domains simultaneously. Therefore, we combined the VGG-19 feature vectors from each OCT and fundus image using RF, RBM, and DBN. RF is an ensemble technique based on bootstrap and random selection of features. It refers to a robust and powerful multiclass classification [21]. In the multimodal RF setting, local features including OCT and fundus images are integrated into a z-score normalization process in each image modality as follows:

$$I_{\text{multimodal}} = \frac{V_{\text{OCT}} - \mu_{\text{OCT}}}{\sigma_{\text{OCT}}} \cdot \frac{V_{\text{fundus}} - \mu_{\text{fundus}}}{\sigma_{\text{fundus}}}$$

Where $I_{\text{multimodal}}$ is an input vector (including 8192 feature values), and V is the feature vector (including 4096 feature values) from pre-trained VGG-19. In addition, μ and σ were the mean and the standard deviation of the given feature vector in each image modality. Finally, an input channel of multimodal RF comprised of output feature layers of VGG-19, which were individually computed by using OCT and fundus image. To fully utilize the information in each image of two examinations, the model should learn to aggregate features from all of those images. Then the model is supposed to complete the recognition with the use of the aggregated features. RBM consisted of hidden, visible layer, and bias nodes. The connections between the visible and hidden layers are undirected and fully connected. RBM can help to determine appropriate initial parameters. DBN is an architecture stacking multiple RBM. There was an unsupervised layer-wise pre-training followed by supervised fine tuning using the gradient descent method in the training process. For multiclass classification, one-versus-all technique was adopted for RBM and DBN. In RBM and DBN learning, we updated the parameters with a learning rate of 0.001 and momentum of 0.1 for 1000 epochs. Different sets of parameters (grid search) for the tunable variables were evaluated to identify the optimal set of parameters.

MATLAB 2017a (Mathworks, Natick, MA, USA) was adopted to perform the algorithms. MedCalc 12.3 (MedCalc, Mariakerke, Belgium) was used to conduct an analysis of the Receiver Operating Characteristic (ROC) curve. The NVIDIA GEFORCE GTX1060 3GB GPU for transfer learning and GTX980 6GB for SGD with Intel core i7 processor were employed to train deep learning models more rapidly.

2.3 Statistical evaluation

The measurement of classification problems was based on area under the curve (AUC), accuracy, and relative classifier information (RCI) [22]. The AUC is the most commonly used

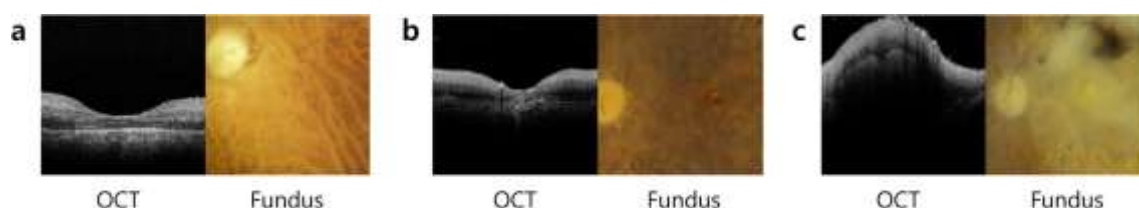


Fig. 2 Examples of OCT and fundus images. a The normal eye. b The eye with non-neovascular age-related macular degeneration. c The eye with neovascular age-related macular degeneration. The image sources are available in the Project MACULA website (<http://projectmacula.cis.uab.edu>)

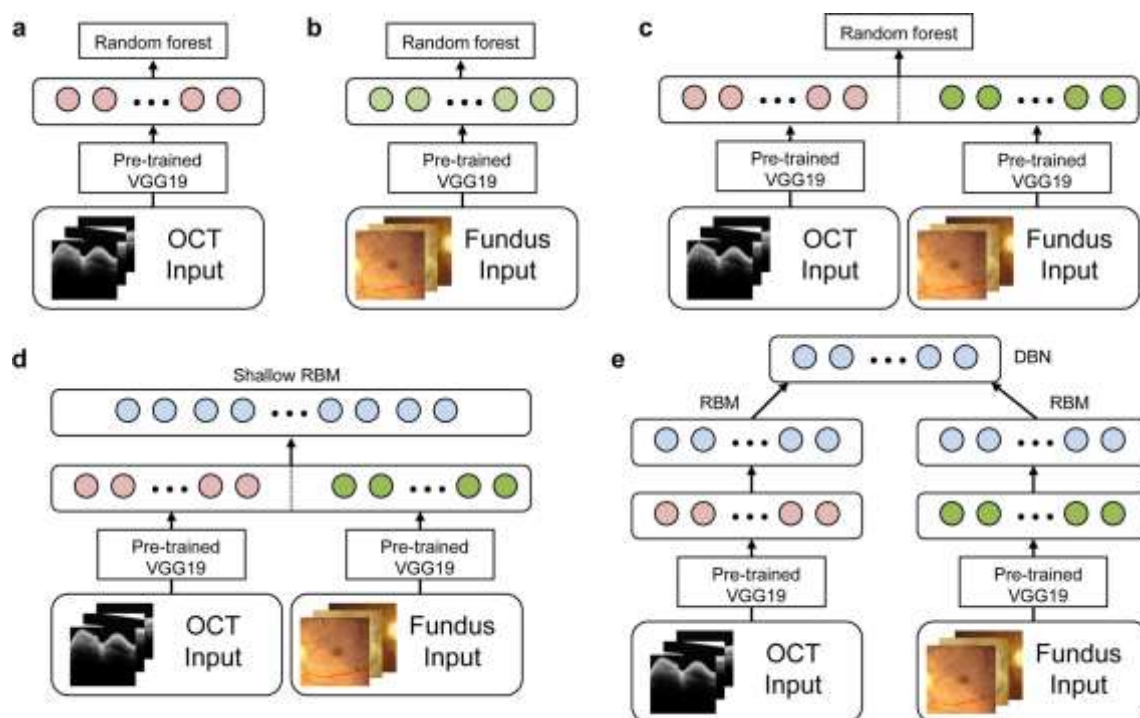


Fig. 3 Illustration of deep learning models in this study. a Transfer learning based on random forest using OCT image alone. b Transfer learning based on random forest using fundus image alone. c Transfer learning based on random forest using the combination of OCT and fundus image. d Multimodal restricted Boltzmann machine. e Multimodal deep belief network

index of binary diagnostic performance. We calculated the AUC by trapezoidal integration of the ROC curve. Accuracy is a standard metric for evaluation of a classifier. It is defined as follows:

$$\text{Accuracy} = \frac{\sum_{ij} q_{ij}}{\sum_{ij} A_{ij}}$$

where the element q_{ij} , refers to the number of test, and test input actually labeled C_i is C_j noted by the classifier. These elements organize the confusion matrix. However, the classification details of how the samples of each class are separated from that of the others cannot be revealed by the accuracy [23]. Therefore, several studies have used the measure of RCI to evaluate multiclass classifier performances [3, 24]. RCI is defined as follows:

$$RCI = \sum_i - \frac{\sum_{ij} A_{ij}}{\sum_{ij} A_{ij}} \log \frac{\sum_{ij} A_{ij}}{\sum_{ij} A_{ij}} - \sum_j \frac{\sum_{ij} A_{ij}}{\sum_{ij} A_{ij}} \times \sum_i - \frac{q_{ij}}{\sum_{ij} A_{ij}} \quad !!$$

RCI represents the performance of unbalanced classes capable of distinguishing among different misclassified distributions. In the multi-class problems, the average of the AUCs on the pairwise binary classification derived was also evaluated. The pairwise binary classifications were performed on the one-versus-all and one-versus-one approach [25]. This process needed additional training for calculating each AUC of pairwise binary classifications. When we trained binary classifiers, we maximized Youden's index [26]. Youden's index J is defined as follows:

$$J = \text{Sensitivity} + \text{Specificity} - 1$$

This value is used frequently to determine the optimal cut-off values of the diagnostic tests in imbalanced dataset.

Comparison between AUCs used the non-parametric empirical method of Delong [27]. When a cross-validation was performed, Duncan's multiple range test was available to obtain detailed information about the differences between clas-

sifiers. This test presented the subsets of adjacent means that are different or not with a given level of significance ($\alpha < 0.05$ in this study) [28].

2.4 Additional experiments

Generally, routine clinical assessments using fundus photo-graph and OCT were conducted by physician's judgments based on detection of the segmented abnormal lesion (hemorrhage, exudates, and drusen) and retinal thickness of OCT. To show the effectiveness of the proposed method, we compared the proposed models with three classic methods close to routine clinical assessments including regression, detection of abnormal lesions, and measurement of retinal thickness. A regression using the least absolute shrinkage and selection operator (LASSO) was used to aggregate features from pre-trained VGG-19. Recently, LASSO has been reported as the best regression method to handle clinical data features [29]. Fundus segmentation using geometric background leveling and threshold selection was performed to detect drusen, exudates, and hemorrhage according to Smith's method [30]. An artificial neural network with two hidden layers containing 100 and 50 neurons was trained using these segmentation results to predict AMD. Measurement of retinal thickness was conducted manually at the fovea, since foveal thickness well reflects the severity of AMD [31]. Additionally, the proposed multimodal models were compared to the Inception-v3 using fundus imaging alone, which is the most popular convolutional deep learning architecture [32]. The stochastic gradient descent was employed to train the inception-v3 model. The inception-v3 model was built in the Tensorflow framework using the open source GitHub package.

To validate the proposed models in a clinical setting, other experiments were performed using non-postmortem OCT and fundus dataset. Images including both OCT and matched fundus photograph was crawled from Google Image Search using keywords related to normal retina, AMD, and OCT. The dataset was built by harvesting images manually for normal and AMD categories. Finally, 44 normal eyes and 75 AMD eyes including both OCT and matched fundus images were obtained. The proposed methods and inception-v3 were evaluated using the leave-one-out cross-validation without data augmentation.

3. EXPERIMENTAL RESULTS

The grid search demonstrated that 500 trees and 3 predictors for each node for RF were found to be optimal for OCT images. A thousand of trees and five predictors of RF were set for fundus images and the multimodal architecture. In RBM, we set the number of hidden units 500 for optimal performance. Four layers of 1000 hidden units determined the optimal model of DBN. More than four hidden unit layers of DBN showed no appreciable improvement in performance.

The five-fold cross-validation binary classification (normal vs AMD) performance of deep learning models is summarized in Table 1. When we assigned a diagnosis based on OCT image alone, the AUC and accuracy of deep learning model were 0.906 (95% confidence interval [CI], 0.891–0.921) and 82.6% (95% CI, 81.0–84.3%), respectively. When we applied fundus image alone for the diagnosis of deep learning, the AUC and accuracy were 0.914 (95% CI, 0.900–0.928) and 83.5% (95% CI, 81.8–85.0%), respectively. When deep learning evaluated the combined fundus and OCT images, the AUC and accuracy turned out to be 0.969 (95% CI, 0.956–0.979) and 90.5% (95% CI, 89.2–91.8%), respectively. The Delong test showed that the multimodal RF using both OCT and fundus data outperformed the single-modal RF using OCT alone (P value < 0.001) and fundus image alone (P value < 0.001). Multimodal RBM and DBN models showed the AUC of 0.940 (95% CI, 0.926–0.953) and 0.956 (95% CI, 0.943–0.968), respectively. Duncan's multiple range test showed two significant subgroups under AUCs. The sub-group of multimodal methods using both OCT and fundus data performed better than that of single-modal methods.

Figure 4 shows ROC curves of the deep learning models of each training scheme, when datasets were divided into training (70%) and test (30%) dataset. The ROC curves showed that the deep learning combined with fundus and OCT imaging was more efficient than fundus imaging alone and OCT imaging alone. Table 2 demonstrates the detailed performance of proposed methods and three classic methods. The multi-modal RF model showed the AUC, accuracy, sensitivity, and specificity of 0.981 (95% CI, 0.938–0.967), 94.6% (95% CI, 92.9–96.0%), 95.5% (95% CI, 93.5–97.0%), and 92.7% (95% CI, 89.1–95.4%), respectively. Considering AUC as a performance metric, the multimodal RF model was not significantly superior to the multimodal RBM model (P value = 0.294), whereas it showed a statistically significant difference compared to the multimodal DBN (P value = 0.008), single-modal RF using OCT alone (P value < 0.001), and RF using fundus image

alone (P value = 0.001). Three classic methods including LASSO regression, detection of abnormal lesions, and measurement of retinal thickness showed the AUC of 0.950 (95% CI, 0.934–0.963), 0.911 (95% CI, 0.891–0.929), and 0.827 (95% CI 0.801–0.851), respectively.

In the problem of multi-class AMD classification (normal, non-neovascular AMD, and neovascular AMD), the classification results of deep learning models were presented in Fig. 5. When a diagnosis based on OCT image alone was assigned, the five-fold cross-validation accuracy and RCI of deep learning model were 67.3% (95% CI, 65.8–68.8%) and 0.220 (95% CI, 0.193–0.247), respectively. After fundus images were applied into the diagnosis of deep learning, the accuracy rate and RCI were 69.5% (95% CI, 68.1–71.0%) and 0.248 (95% CI, 0.227–0.269), respectively. When the multimodal RF model combined fundus and OCT images, the accuracy and RCI turned out to be 73.2% (95% CI, 71.8–74.6%) and 0.302, respectively. Multimodal RBM and DBN demonstrated the accuracy of 72.0% (95% CI, 70.6–73.4%) and 71.7% (95% CI, 70.3–73.1%), and the RCI of 0.284 (95% CI, 0.265–0.303) and 0.279 (95% CI, 0.259–0.298), respectively. The average of the AUCs on the pairwise binary classification revealed that the multimodal RF model showed the value of 0.852 (95% CI, 0.828–0.867) in the one-versus-all and 0.892 (95% CI, 0.876–0.902) in the one-versus-one setting. According to Duncan's multiple range test, the multimodal RF model significantly improved the performance obtained by the single-modal methods.

Since the small samples of the original data were analyzed, we conducted the leave-one-out cross-validation on patient

Table 1 Diagnostic performance of deep learning models using five-fold cross-validation in training set

	AUC (95% CI)	Accuracy (%) (95% CI)	Sensitivity (%) (95% CI)	Specificity (%) (95% CI)	P value*	Duncan subgroup†
RF - OCT image alone	0.906 (0.891–0.921)	82.6 (81.0–84.3)	83.2 (81.2–85.1)	81.6 (78.5–84.4)	< 0.001	B
RF - Fundus image alone	0.914 (0.900–0.928)	83.5 (81.8–85.0)	83.4 (81.4–85.3)	83.6 (80.6–86.2)	< 0.001	B
RF - OCT+ Fundus image	0.969 (0.956–0.979)	90.5 (89.2–91.8)	91.0 (89.4–92.5)	89.6 (87.2–91.9)	–	A
RBM - OCT+ Fundus image	0.940 (0.926–0.953)	86.5 (84.9–87.9)	86.0 (84.1–87.8)	87.5 (84.7–89.8)	0.002	A
DBN - OCT+ Fundus image	0.956 (0.943–0.968)	88.9 (87.4–90.1)	88.0 (86.2–89.7)	90.5 (88.0–92.5)	0.042	A

*Comparison of ROC curves with the deep learning method using RF – OCT + Fundus image according to the Delong test † Subgroups were separated by Duncan's multiple range test using the AUCs AUC, area under curve; DBN, deep belief network; RBM, restricted Boltzmann machine; RF, random forest

level instead of image-level. During the leave-one-out cross-validation, training and test process were conducted without data augmentation. Figure 6 shows the patient-level validation results of deep learning models, which classified AMD status with patient level maintaining data augmentation during the training process. Consistent results were observed; as a result, multimodal RF model yielded an accuracy of 72.3% (95% CI, 69.8–74.5%) and RCI of 0.379 (95% CI, 0.361–0.400) in the patient level analysis. The single-modal Inception-v3 using fundus images showed the accuracy of 69.9% (95% CI, 67.5–72.1%) and RCI of 0.322 (95% CI, 0.305–0.342).

The proposed models were also evaluated in the non-postmortem fundus and OCT images of normal and AMD eyes, which were crawled from Google Image Search (Fig. 7). Therefore, training and validation were performed renewably. In a binary classification setting, the leave-one-out cross-validation was conducted. The experiment demonstrated a consistent result with the previous finding with post-mortem data. The multimodal RF model showed the AUC and accuracy of 0.994 (95% CI, 0.959–1.000) and 97.3% (95% CI, 92.8–99.5%), respectively. Multimodal RBM and DBN demonstrated the AUC of 0.941 (95% CI, 0.882–0.975) and 0.969 (95% CI, 0.920–0.992) and accuracy of 89.2% (95% CI, 82.4–94.5%) and 94.6% (95% CI, 89.3–98.1%), respectively. The Duncan's multiple range test in AUCs showed that the multimodal RF using both OCT and fundus data performed better than the single-modal RF models.

4. DISCUSSION

To the best of our knowledge, this is the first experimental study to construct multimodal deep learning models that consider both fundus and OCT images at the same time. Fundus images provide information on the number and area of drusen or atrophic lesion of AMD. Measurement of OCT is closely associated with the aggressiveness of subretinal and intraretinal fluid lesion. The tissue property and retinal thickness, which affected by choroidal neovascularization and leakage of vessel, can be measured by using OCT. A previous study suggested that fundus images can exhibit changes of the size of drusen and pigmentary lesion, yet incapable of

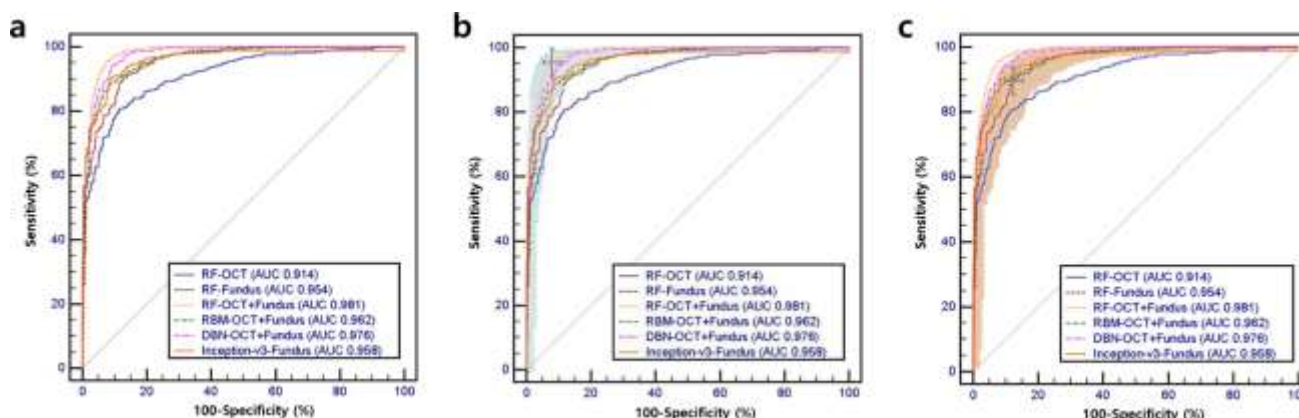


Fig. 4 Receiver operating characteristic (ROC) curves of transfer learning based on random forest (RF), multimodal restricted Boltzmann machine (RBM), and multimodal deep belief network (DBN) for the purpose of conducting binary classification (normal versus age-related macular degeneration). The convolutional deep neural network for transfer learning consisted of pre-trained VGG-19. a The original ROC curves. b ROC curves with a 95% confidence interval band of multimodal transfer learning based RF using both OCT and fundus image. c ROC curves with a 95% confidence interval band of transfer learning based RF using fundus image alone

Table 2 Diagnostic performance of trained deep learning models in test set

	AUC (95% CI)	Accuracy (%) (95% CI)	Sensitivity (%) (95% CI)	Specificity (%) (95% CI)	P value*
RF - OCT image alone	0.914 (0.894–0.932)	83.3 (80.7–85.7)	80.8 (77.4–83.9)	88.3 (84.1–91.7)	< 0.001
RF - Fundus image alone	0.954 (0.938–0.967)	89.2 (87.0–91.2)	90.0 (87.3–92.3)	87.7 (83.4–91.2)	0.001
RF - OCT + Fundus image	0.981 (0.970–0.989)	94.6 (92.9–96.0)	95.5 (93.5–97.0)	92.7 (89.1–95.4)	–
RBM - OCT+ Fundus image	0.976 (0.964–0.985)	93.1 (91.3–94.7)	94.2 (92.0–95.9)	91.0 (87.2–94.0)	0.294
DBN - OCT + Fundus image	0.961 (0.947–0.973)	89.7 (87.5–91.6)	88.8 (86.0–91.2)	91.3 (87.6–94.3)	0.008
Inception-v3 - Fundus image alone	0.958 (0.942–0.971)	89.2 (87.0–91.2)	90.0 (87.3–92.3)	87.7 (83.4–91.2)	0.004
LASSO regression - OCT + Fundus image	0.950 (0.934–0.963)	88.5 (86.2–90.5)	88.7 (85.9–91.1)	88.2 (83.8–91.5)	0.001
ANN - Fundus segmentation	0.911 (0.891–0.929)	84.1 (81.5–86.4)	85.2 (82.1–87.9)	82.0 (77.2–86.2)	< 0.001
OCT foveal thickness	0.827 (0.801–0.851)	75.9 (73.0–78.7)	76.0 (72.4–79.4)	75.5 (70.4–80.4)	< 0.001

*Comparison of ROC curves with the deep learning method using RF - OCT + Fundus image according to the Delong test AUC, area under curve; DBN, deep belief network; LASSO, least absolute shrinkage and selection operator; RBM, restricted

Boltzmann machine; RF, random forest detecting choroidal neovascularization precisely [33]. On the other hand, OCT showed a highly sensitive ability to detect choroidal neovascularization, with the possibility of missing changes of drusen and retinal pigment epithelium [34]. Therefore, these two imaging modalities offer complementary information on retina (Fig. 8). Since OCT is not yet routinely used for clinical screening AMD, most previous researches using machine learning have reported the performance of fundus image classification [6]. Currently, OCT was recognized as a useful tool to monitor patients with AMD in previous studies [34]. Recent research using OCT image alone through deep learning technique reported to have a high diagnostic performance [13]. The present findings showed that adding fundus image to OCT image can increase the accuracy in deep learning model for diagnosis of AMD. The result revealed a statistically significant improvement of this multimodal approach to prediction AMD. This study suggests that the multimodal deep learning is needed to combine multiple types of

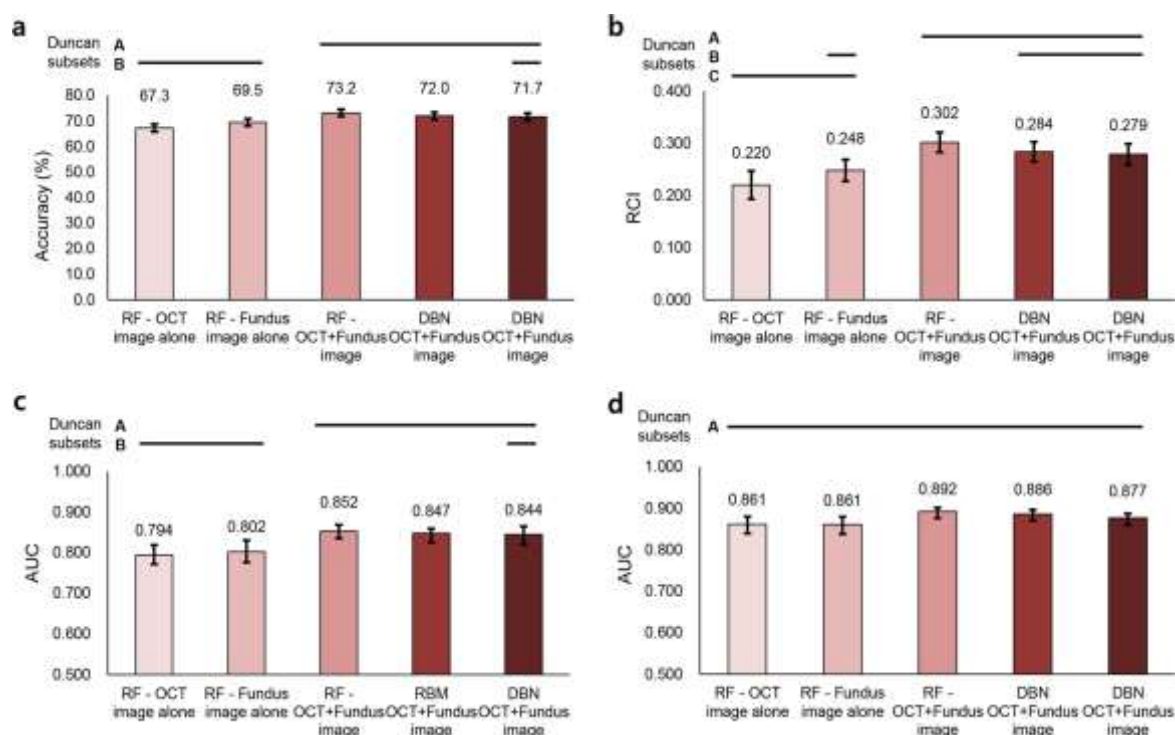


Fig. 5 Three-class (normal, non-neovascular age-related macular degeneration, and neovascular age-related macular degeneration) classification results using transfer learning based on random forest (RF), multimodal restricted Boltzmann machine (RBM), and multimodal deep belief network (DBN). The convolutional deep neural network for transfer learning consisted of pre-trained VGG-19. a The accuracy of classification models. b The relative classifier information (RCI) of classification models. c The average of the AUCs on the one-versus-all pairwise binary classification. d The average of the AUCs on the one-versus-one pairwise binary classification. The error bars represent 95% confidence intervals

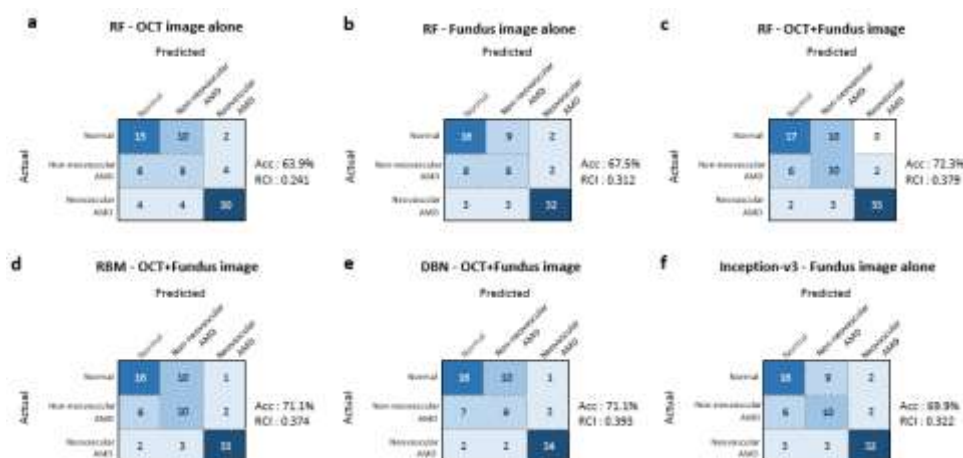


Fig. 6 The confusion matrix from five multi-class models based on the leave-one-out cross-validation at the patient level without data augmentation. a Transfer learning based on random forest using OCT image alone. b Transfer learning based on random forest using fundus image alone. c Transfer learning based on random forest using the combination of OCT and fundus image. d Multimodal restricted Boltzmann machine. e Multimodal deep belief network. f Inception using fundus image alone

image information to reach a more precise diagnosis. However, the findings are limited for the group studied with methodological limitations.

In general, the inferential statistics showed the significant differences between multimodal and single-modal deep learning methods in this study. Notably, multimodal RF predicted AMD with better performance than LASSO regression and other classic methods. The flexible and deep structure of non-linear multi-modal machine learning was more appropriate than LASSO, which is one of the linear regression methods, to model complex systems, thus has a great potential to make a full use of data observed in fundus and OCT images. Generally, the recent imaging methods with regression, segmentation, and retinal thickness measurement were included in routine clinical assessments [35]. The method of combining OCT with fundus image in this paper could provide a better prediction of AMD than using current imaging methods.

The combination of multiple biomarkers or multiple image modalities may increase the diagnostic accuracy [36]. In other clinical imaging area, several studies have reported that the combination of multiple modalities and imaging techniques could improve the diagnostic performance [37]. In the field

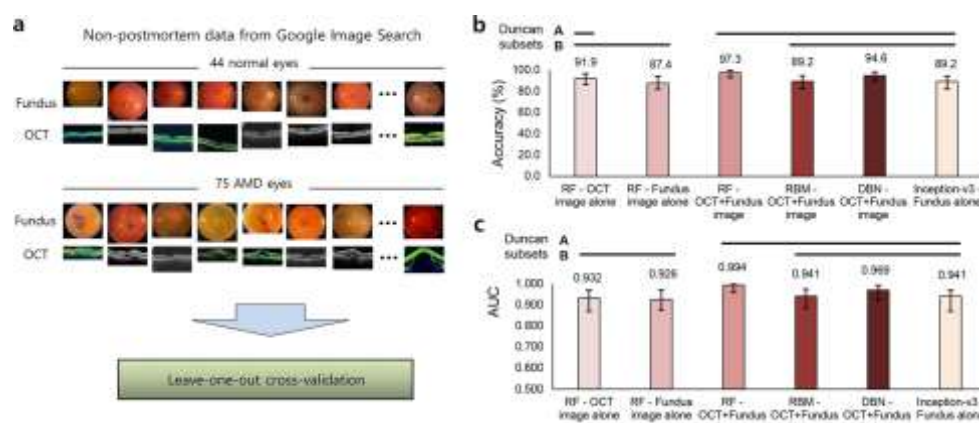


Fig. 7 The leave-one-out classification using non-postmortem data. a Non-postmortem fundus and OCT images of normal and AMD eyes were crawled from Google Image Search. b The accuracy of classification models. c The AUCs of classification models. The error bars represent 95% confidence intervals

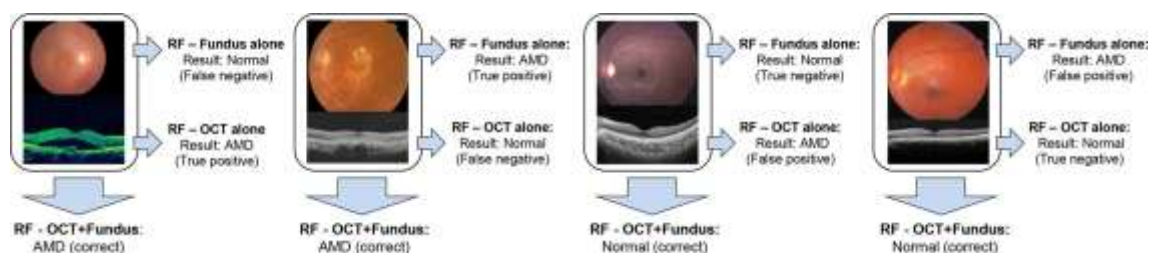


Fig. 8 Four examples of correctly classified retinal images by the multimodal transfer learning based on random forest using the combination of OCT and fundus image

of machine learning, the multimodal learning has been considered as an important problem [38]. A multimodal machine learning method which combined MRI, FDG-PET, and cerebrospinal fluid data, for example, offers utility in predicting the probability of the prevalence of Alzheimer's disease [39]. Fundus and OCT images can be recognized as the most influential biomarkers of retinal diseases. However, previous literatures paid little attention to the function of the diagnostic model that combines fundus with OCT images. Since the most widely used deep learning techniques have been developed to handle a single image modality at one time, previous researchers ignored the efficacy of concomitant use of fundus and OCT data. Therefore, we modified transfer learning process to combine the feature vectors from different imaging domain such as fundus and OCT images by using RF. Findings from this study show that future computer-aid systems should incorporate all clinical data from various examination modalities for precise diagnosis. Thus, this work demonstrated the potential of multimodal deep learning systems more similar to a real clinician.

In this preliminary experiment, RF with multimodal setting worked better than RBM and DBN. RF was found to be a robust and accurate machine learning classifier in the previous literatures [3]. As a nonparametric statistical method, RF can deal with nonlinearity, interactions between predictors, and heterogeneity of predictors [40]. One previous study reported that logistic regression, RF, RBM, DBN, and recurrent neural network showed comparable performance for the purpose of churn prediction [41]. A slight difference is that RF functioned best compared to other machine learning methods. This result was consistent with this finding that RF showed its optimal performances in multimodal imaging problems. RF using multimodal MRI imaging was introduced for the segmentation of stroke lesion [40]. Another example is the application of RF to predict tumor grade by using multimodal MRI imaging [42].

Several limitations should be noted. First, we included a few study eyes. Deep learning generally requires a large size of samples for proper training [43]. Although we used data augmentation to solve this problem, a relatively small number of dataset is involved in a fundamental problem. Second, we used the dataset from postmortem studies. Fundus photographs and OCT images showed postmortem changes and artifacts [44]. Due to these postmortem characteristics, the present deep learning model is not able to apply to the real patients in the clinic. However, in spite of these limitations, this deep learning model emphasized effectively the usefulness of adding fundus imaging to OCT imaging to diagnose AMD. Moreover, these postmortem data have an advantage of being confirmed by pathohistological examination for accurate AMD diagnosis. We tried to make up for this shortcoming by training and validation in non-postmortem OCT and fundus images from Google Image Search. Third, the input images are cropped manually according to ophthalmologists' subjective analysis. Further research is needed for automated selection of the important region of OCT and fundus images. Fourth, we did not train the whole deep convolutional neural networks for the multimodal setting. Previous papers used a stochastic gradient descent method to train large-scaled deep neural network such as AlexNet, VGG, and GoogleNet [8]. However, our previous research revealed that transfer learning using RF was more effective than trained neural network using stochastic gradient descent when the small database was adopted [3].

5. CONCLUSION

This experimental study demonstrated the benefits of the concomitant use of fundus and OCT data in deep learning technique for AMD diagnosis. However, this result is limited by significant limitations, which not allow the application to real patients in the clinic. Since fundus and OCT imaging provide unique and complementary information on the retina, addi-

tional studies should be conducted to determine the optimal deep learning technique to incorporate OCT images and other clinical information into the fundus photograph diagnosis system. Future diagnostic deep learning models need to adopt the multimodal process to combine different types of imaging.

Compliance with ethical standards

Conflict of interest

The authors declare that they have no conflict of interest.

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